



Ridge Clearing & Outsourcing Solutions, Inc.
1981 Marcus Avenue, Lake Success, NY 11042
SEC Rule 606 Disclosure for the Quarter Ending September 30, 2011

In compliance with SEC Regulation NMS Rule 606 (formerly Rule 11Ac1-6) Ridge Clearing & Outsourcing Solutions, Inc. is currently exempt from the public posting requirements under the De Minimus exemption set out in Question 20 of SEC Staff Legal Bulletin No. 13A dated October 16, 2001.

Important Information

Information regarding the specific routing or any orders executed for your account for the previous six (6) months will be furnished upon request. Please contact the representative handling your account.